PTC/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
are required to respond to a collection of information unless it contains a valid CMB control number.

Substit	tute for form 1449A/	B/PTO		Complete if Known		
				Application Number	10/717,135	
INF	FORMATI	ON DIS	CLOSURE	Filing Date	November 18, 2003	
ST	STATEMENT BY APPLICANT			First Named Inventor	Ling Y. Cheung	
_				Art Unit	1654	
	(Use as man	y sheets as n	ecessary)	Examiner Name	Randall O. Winston	
Sheet	1	of	5	Attorney Docket Number	KONG-24	

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
W		20030230245	12-18-2003	Cheung	
7		20030232038	12-18-2003	Cheung	
7		20030232039	12-18-2003	Cheung	
\betaalign*		20030232059	12-18-2003	Cheung	
July 1		20030235565	12-25-2003	Cheung	
W		20030235566	12-25-2003	Cheung	
7		20030235567	12-25-2003	Cheung	
٣		20030235568	12-25-2003	Cheung	·
~		20030235569	12-25-2003	Cheung	
7		20030235570	12-25-2003	Cheung	
~		20040001812	01-01-2004	Cheung	
~		20040001813	01-01-2004	Cheung	
~		20040001814	01-01-2004	Cheung	
~		20040005335	01-08-2004	Cheung	
~		20040005680	01-08-2004	Cheung	
~		20040253251	12-16-2004	Cheung	
~	-	20040253252	12-16-2004	Cheung	
~		20040253253	12-16-2004	Cheung	
		20040253254	12-16-2004	Cheung	
7		20040253255	12-16-2004	Cheung	
7		20040253256	12-16-2004	Cheung	
~		20040253257	12-16-2004	Cheung	
7		20040253258	12-16-2004	Cheung	
7		20040253259	12-16-2004	Cheung	
~		20040253268	12-16-2004	Cheung	
Evamino		7 4	·	Data	

Examiner Signature Pull (Considered OT/II) 2005								
	 H	ul	1 (lui	Considered	07/15/	7005	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chlef Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

spond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to r

Sul	Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/717,135	
11	NFORMATIO	N DI	SCLOSURE	Filing Date	November 18, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Ling Y. Cheung	
				Art Unit	1654	
	(Use as many s	heets as	necessary)	Examiner Name	Randall O. Winston	
Sheet	2	of	5	Attorney Docket Number	KONG-24	

U.S. PATENT DOCUMENTS						
ادم	20040253260	12-16-2004	Cheung			
N	20040253261	12-16-2004	Cheung			
~	20040253262	12-16-2004	Cheung			
$\overline{\mathcal{A}}$	20040253263	12-16-2004	Cheung			
~	20040253264	12-16-2004	Cheung			
~	20040253265	12-16-2004	Cheung	·		
~	20040253266	12-16-2004	Cheung			
	20040253267	12-16-2004	Cheung			
N	20040265990	12-30-2004	Cheung			
N	2,107,830	02-08-1938	Liebesny et al.			
~	3,150,979	09-29-1964	Ensley			
N	3,711,392	01-16-1973	Metzger			
7	3,870,599	03-11-1975	Azarowicz			
7	3,923,279	12-02-1975	Gresley et al.			
7	3,939,279	02-17-1976	Kawano et al.			
~	3,968,254	07-06-1976	Rhodes et al.			
~	3,997,675	12-14-1976	Eichelburg			
~	4,041,182	08-09-1977	Erickson et al.			
~	4,118,512	10-03-1978	Eichelburg			
~	4,348,483	09-07-1982	Skogerson			
7	5,047,250	09-10-1991	Prieels et al.			
~	5,082,662	01-21-1992	Laurent et al.			
~	5,082,936	01-21-1992	Jamas et al.			
7	5,158,788	10-27-1992	Lavens et al.			
~	5,504,079	04-02-1996	Jamas et al.			
7	5,578,486	11-26-1996	Zhang			
~	5,665,352	09-09-1997	Blehaut et al.			
	1 1		·····	 		

Examiner Signature	luli	al	Date Considered	07/15/205
				' / '

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	ostitute for form 1449A/	/B/PTO		Complete if Known			
				Application Number	10/717,135		
11	NFORMATI	ON DISC	CLOSURE	Filing Date	November 18, 2003		
S	STATEMENT BY APPLICANT			First Named Inventor	Ling Y. Cheung		
1				Art Unit	1654		
	(Use as man	y sheets as ne	cessary)	Examiner Name	Randall O. Winston		
Sheet	3	of	5	Attorney Docket Number	KONG-24		

	U.S. PATENT DOCUMENTS								
~	5,866,116	02-02-1999	Yaegaki						
	5,952,020	09-14-1999	Lizak						
~	5,981,219	11-09-1999	Flugge et al.						
~	6,045,834	04-04-2000	Howes et al.						
P	6,143,731	11-07-2000	Jamas, et al.						
7	6,159,510	12-12-2000	Lizak						
P	, 6,197,295	03-06-2001	Hsia et al.						
N	6,214,337	04-10-2001	Hayen et al.						
4	6,416,982	07-09-2002	Zhang						
	6,416,983	07-09-2002	Cheung						
7	20020099026	07-25-2002	Goodman et al.						
7	6,596,272	07-22-2003	Cheung						
7	6,596,273	07-22-2003	Cheung						
7	20030230126	12-18-2003	Cheung						
	6,699,496	03-02-2004	Kojima et al.						
N	6,761,886	07-13-2004	Cheung						
\sim	20040168492	09-02-2004	Cheung						
8	6,800,466	10-05-2004	Cheung						
7"	6,828,131	12-07-2004	Zhang						
7	6,828,132	12-07-2004	Cheung						
M	DiV of 10/192,805 (Filed 11-29-04; no Appln No. yet)	Not yet published	Zhang						
\sim	DIV of 10/192,807 (Filed 11-29-04; no Appln No. yet)	Not yet published	Cheung						
		<u> </u>							

Examiner Signature	del	walls	Date Considered	04/15/20)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Sub	Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/717,135	
11	NFORMATION	1 DI	SCLOSURE	Filing Date	November 18, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Ling Y. Cheung	
Ì				Art Unit	1654	
L	(Use as many sh	eets a	necessary)	Examiner Name	Randall O. Winston	
Sheet	4	of	5	Attorney Docket Number	KONG-24	

		FOREI	GN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Occument	Publication	Name of Palentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T°
V		EP1375652	01-02-2004	Ultra Biotech Ltd.		
		WO02070683	09-12-2002	Ultra Biotech Ltd.		
P		WO2004108919	12-16-2004	Ultra Biotech Ltd.		
7		GB1397873	06-18-1975	Evans		
~		ES475500 (in Spanish with English Abstract)	04-01-1979	Gonzalez		
7		SU1722364 (English Abstract)	03-30-1992	Talantov et al.		
~		SU1750570 (English Abstract)	07-30-1992	Levon et al.		\Box
~		EP553377	08-04-1993	Bridges		
رو		CN1207873 (in Chinese with English Abstract)	02-17-1999	Liu et al.		
2		WO02070436	09-12-2002	Cheung		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
2		Born et al., "The Saccharomyces Boulardii Therapy of HIV-Associated Diarrhea", <u>Deutsche Medizinische Wochenschrift</u> , 118(20):765 (1993). (in German with English translation)	
1		Dutta et al., <u>J. of Microwave Power</u> , vol. 14, No. 3, pages 275-280 (1979).	
~		Goodman, et al., "Magnetic Field Stress Induces Expression of HSP70", Cell Stress & Chaperones 3(2):79-88 (1998).	
0		Grundler W., "Resonant Microwave Effect on Locally Fixed Yeast Microcolonies" Z. Naturforsch 44c:863-866 (1989).	
		Kim et al., "Anti-Stress and Anti-Fatigue Effects of Fermented Rice Bran", <u>Biosci Biotechnol</u> <u>Biochem.</u> , 65(10):2294-6 (2001).	

	^				
Examiner Signature	He	LILLA	Date Considered	04/15/205	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary take 2 hours to complete, Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	ostitute for form 1449A/B/PT	0		Complete if Known		
				Application Number	10/717,135	
11	NFORMATION	1 DI	SCLOSURE	Filing Date	November 18, 2003	
S	TATEMENT E	3Y /	APPLICANT	First Named Inventor	Ling Y. Cheung	
ļ				Art Unit	1654	
	(Use as many sh	eets as	necessary)	Examiner Name	Randall O. Winston	
Sheet 5 of 5		Attorney Docket Number	KONG-24			

NON PATENT LITERATURE DOCUMENTS							
60	Lin H. et al., "A Magnetic Field-Responsive Domain in the Human HSP70 Promoter", <u>J Cell Biochem</u> , 75:170-176 (1999).						
6	Machado Caetano et al., "Immunopharmacological Effects of Sacchoramyces Boulardii in Healthy Human Volunteers", Int'l Immunology and Immunopathology, 8(3):245-259 (1986).						
8	Ortuno et al., "Oral Administration of Yeast, Saccharomyces Cerevisiae, Enhances the Cellular Innate Immune response of Gilthead Seabream (Sparus aurata L.)", Vet Immunol Immunopathol, 85(1-2):41-50 (2002).						
N	Peret Filho et al., "Dose Effect of Oral Saccharomyces <i>Boulardii</i> Treatments on Morbidity and Mortality in Immunosuppressed Mice", <u>J Med Microbiol.</u> , 47(2):111-6 (1998).						
~	Saha et al., "Microbial Manipulation of Rumen Fermentation Using Saccharomyces Cerevisiae as Probiotics", Current Science (Bangalore), 77(5):696-697 (1999).						
2	WHO World Health Organization; WebPages http://www.who.int/peh-emf/about/WhatisEMF/en/index3.html retrieved 6/10/04.						

Examiner Signature	lulu	ali	Date Considered	04/	15/1005	
					7	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, 6 Applicant is to place a check mark here If English language.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OF THE PROPERTY OF THE PROPERT

PTC/SB/08a/b (08-03)
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	stitute for form 1449A/B/PT	0		Complete if Known		
				Application Number	10/717,135	
- IN	IFORMATION	I DI	SCLOSURE	Filing Date	November 18, 2003	
S	TATEMENT I	3Y /	APPLICANT	First Named Inventor	Ling Y. Cheung	
				Art Unit	1654	
	(Use as many sh	eets as	necessary)	Examiner Name	Randall O. Winston	
Sheet	1	of	1	Attorney Docket Number	KONG-24	

U.S. PATENT DOCUMENTS								
Examiner Initials*		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
	Cite No. ¹	Number-Kind Code ² (# known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear:			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document	Date	Name of Patentee or	Pages, Columns, Lines,	Γ		
	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)		Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
W		CN1309175 (in Chinese with English Abstract)	08-22-2001	Chen				
		·				Г		

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				

	//		
Examiner	10101	Date	1,1,
Signature / V	MILL MAN	Considered	04/15/205
	~		
			l 1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.